

Customer verification form -**Domestic Proprietary Company**

All fields are mandatory, unless specified otherwise.

1. Domestic Proprietary Company information	
St.George Customer Access Number (if known)	
Full name of the company as registered with ASIC	
Other name(s) under which the company carries on their busines	s (if any)
ACN	ABN (if any)
Is the company majority owned by an Australian publicly listed company	ompany?
Yes Provide name of the domestic stock exchange on which the majority owner is listed.	
☐ No ③ Go to next question	
Is the company majority owned by a foreign listed company?	
Yes Provide name and jurisdiction of the foreign stock e	xchange on which the majority owner is listed.
☐ No ③ Go to next question	
Nature of business activities - Australia and New Zealand Sta Please provide us with details of the industry in which your busin	
Date of registration	

1. Domes	tic Proprietary Co	mpany Information (con	itinued)	
State/Territ	ory of registration			
Full address	s of the company's reg	istered office (not a PO Box	()	
Suburb			State	Postcode
Full address As abov		ncipal place of business (no	t a PO Box) (if any)	
Suburb			State	Postcode
Company's	contact email address	S		
Full name(s) on a separa		ne(s) where applicable) of Al	_L company directo	ors. (If there is not enough space, provide details
Is the comp	oany a tax resident o	f any country outside of A	ustralia?	
☐ Yes	Country(ies) outsice Tax Identification I		company is a reside	ent for tax purposes and country's associated
	Note: If the compa		try in which they ar	re a tax resident, please provide this information
	Country 1		Foreig	gn TIN 1
□ No	> Go to next question	n		
resident in a				sidency that is used for tax purposes. If you're a tax (which could include publicly available information) from a
Purpose of	business relationshi	p (please select one or mo	re options)	
	to your reasons for en ous. Please indicate a		oducts and services	s. Customers may have multiple reasons for
Transac Savings Protecti		Wealth Short-term borro	•	☐ Correspondent banking☐ Financial markets

Source of funds (please select one or more options)		
This refers to the origin of the fund multiple sources of funds. Please in		nship between you and us. Many customers have
Commission Bonus Business profits Loan Rental income Sale of assets Additional sources (please spe	Tax refund Gift/donation Government grant Business income/earnings Investment income/earnings Corporate investments earnings	External investment/capital injection Mergers and acquisitions Controlled money account Liquidation of assets Insurance payment Compensation payment
Source of wealth (please select of	ne or more options) al net assets/total net worth. Many customer	ما المراجع الم
Please indicate all your sources of Business profits Rental income Insurance payment Liquidation of assets Sale of assets Additional sources (please spe	wealth. Gift/donation None Business income/earnings Investment income/earnings Corporate investments earnings	Owns real estate/property Mergers and acquisitions Controlled money account Compensation payment
2. Regulated companies only		
Is the company licensed and subject t APRA, ATO)?	o regulatory oversight by a statutory Commonwe	ealth, State or Territory regulator (e.g. ASIC,
Note: A company is licensed by AS products.	IC if it deals or advises in investments, super	rannuation, insurance, deposit-taking and credit
Yes Name of the regulator Australian Securities & Investments Commission (ASIC) Australian Prudential Regulation Authority (APRA) Australian Taxation Office (ATO) Licence number		
So to section 6 I No So to next question	Declaration once the above is complete	

1. Domestic Proprietary Company Information (continued)

3. Beneficial Owners

Full Name(s) (including middle name(s) where applicable), full address (no PO Box), date of birth and type of relationship of each INDIVIDUAL who is a Beneficial Owner.

A Beneficial Owner is:

- 1. Any Individual who owns (either directly or indirectly) 25% or more of the company, such as a shareholder; OR
- 2. If no one owns 25% or more, each Individual that controls (either directly or indirectly) the company.

Where you are not able to identify any such Individual using the previous measures, the following Individual(s) can be treated as if they were a Beneficial Owner.

- 1. An Individual entitled (either directly or indirectly) to exercise 25% or more of the voting rights, including a power of veto; OR
- 2. If no one is entitled to exercise 25% or more of the voting rights, an Individual who holds the position of senior Managing Official (or equivalent), such as the Chief Executive Officer (CEO), Chief Operating Officer (COO), Chief Financial Officer (CFO), or Chairman of the Board, or foreign equivalent positions.

Please attach certified copies of identification documentation (go to section 7 to find out more about acceptable documentation).

If there is not enough space, provide details on a separate sheet and attach to this form, labelling the section that the attachment relates to.

Beneficial Owner	1		
Type of relationship	p:		
Owner	Controller Other Individ	dual	
Title	Full name (as per identification docu	ument)	
Other name(s) (If ar	ny)		Date of birth
			/ /
Are you a St.Georg	ge customer? If yes, then please provid	de your customer number	
Employment type ((e.g. Full-time, Part-time, Casual)		
Occupation			
Full address (not a	PO Box)		
Suburb		State Po	stcode
Mobile number		Email address	
Is Beneficial Owner	r 1 a tax resident of any country outsic	de of Australia?	
	e indicate below the country(ies) in whi ach country's associated TIN*	ich Beneficial Owner 1 is a resident for tax	purposes
	dividual has more than one country in w	which they are a tax resident, please provid	de this information on a
Country 1		Foreign TIN 1	
☐ No ⑤ Go to	next guestion		

^{*} A Foreign TIN is an identifying number or equivalent issued by the individual's country of tax residency that is used for tax purposes. If you're a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.

3. Beneficial Owners (continued)

Non-Beneficially held shareholdings, if applicable:

 Please advise how non-beneficial sha on behalf of an individual/trust/compa 		e behalf, they are held (for example, whether it is
Individual shareholder's name		
Entity name		
Held on behalf of		
Purpose of business relationship (plea	ase select one or more options)	
Transactional Savings Protection Source of funds (please select one or	Wealth Short-term borrowing Long-term borrowing	Correspondent banking Financial markets
Salary/wages Commission Bonus Loan Business profits Sale of assets Rental Income Additional sources (please specify)	Inheritance Redundancy Liquidation of assets Government benefits Superannuation/pension Investment income/earnings Business income/earnings	Compensation payment Gift/donation Windfall Tax refund Insurance payment
Source of wealth (please select one or	r more options)	
Government benefits Business profits Rental income Redundancy Insurance payment Sale of assets Additional sources (please specify)	 ☐ Windfall ☐ Inheritance ☐ Liquidation of assets ☐ Employment income/earnings ☐ Superannuation/pension ☐ Investment income/earnings 	Business income/earnings Compensation payment Gift/donation Owns real estate/property None

3. Beneficial Owners (continued)		
Beneficial Owner 2		
Type of relationship:		
Owner Other Individual		
Title Full name (as per identification document)		
Other name(s) (If any)	Date of birth	
	/ /	
Are you a St.George customer? If yes, then please provide your customer nu	mber	
Employment type (e.g. Full-time, Part-time, Casual)		
Occupation		
Full address (not a PO Box)		
Suburb State	Postcode	
Mobile number Email addre	ess	
Is Beneficial Owner 2 a tax resident of any country outside of Australia?		
Yes Please indicate below the country(ies) in which Beneficial Owner and each country's associated TIN*	2 is a resident for tax purposes	
Note: If the individual has more than one country in which they are a tax reside separate sheet.	ent, please provide this information on a	
Country 1 Foreign T	TIN 1	
☐ No So to next question		
Non-Beneficially held shareholdings, if applicable:		
• Please advise how non-beneficial shares in the entity are held and, on whose on behalf of an individual/trust/company)	se behalf, they are held (for example, whether it is	
Individual shareholder's name		
Entity name		
Held on behalf of		

^{*} A Foreign TIN is an identifying number or equivalent issued by the company's country of tax residency that is used for tax purposes. If you're a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.

3. Beneficial Owners (continued)			
Purpose of business relationship (plea	Purpose of business relationship (please select one or more options)		
☐ Transactional ☐ Savings ☐ Protection Source of funds (please select one or	Wealth Short-term borrowing Long-term borrowing more options)	Correspondent banking Financial markets	
☐ Salary/wages ☐ Commission ☐ Bonus ☐ Loan ☐ Business profits ☐ Sale of assets ☐ Rental Income ☐ Additional sources (please specify)	☐ Inheritance ☐ Redundancy ☐ Liquidation of assets ☐ Government benefits ☐ Superannuation/pension ☐ Investment income/earnings ☐ Business income/earnings	Compensation payment Gift/donation Windfall Tax refund Insurance payment	
Source of wealth (please select one or	r more entione)		
Government benefits Business profits Rental income Redundancy Insurance payment Sale of assets Additional sources (please specify)	Windfall Inheritance Liquidation of assets Employment income/earnings Superannuation/pension Investment income/earnings	Business income/earnings Compensation payment Gift/donation Owns real estate/property None	

3. Beneficial Owners (continued)		
Beneficial Owner 3		
Type of relationship: Owner Controller Other Individual Title Full name (as per identification document)		
Other name(s) (If any)		Date of birth
		1 1
Are you a St.George customer? If yes, then please provide your	customer number	
Employment type (e.g. Full-time, Part-time, Casual)		
Occupation		
Full address (not a PO Box)		
Suburb	State Po	stcode
Mobile number	Email address	
Is Beneficial Owner 3 a tax resident of any country outside of Au	ustralia?	
Yes Please indicate below the country(ies) in which Bendand each country's associated TIN*	eficial Owner 3 is a resident for tax	x purposes
No So to next question		
Note: If the individual has more than one country in which they a separate sheet.	re a tax resident, please provide tl	nis information on a
Country 1	Foreign TIN 1	
Non-Beneficially held shareholdings, if applicable:		
 Please advise how non-beneficial shares in the entity are held on behalf of an individual/trust/company) 	and, on whose behalf, they are hel	d (for example, whether it is
Individual shareholder's name		
Entity name		
Held on behalf of		

^{*} A Foreign TIN is an identifying number or equivalent issued by the company's country of tax residency that is used for tax purposes. If you're a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.

3. Beneficial Owners (continued)		
Purpose of business relationship (please select one or more options)		
☐ Transactional ☐ Savings ☐ Protection Source of funds (please select one or	Wealth Short-term borrowing Long-term borrowing more options)	Correspondent banking Financial markets
Salary/wages Commission Bonus Loan Business profits Sale of assets Rental Income Additional sources (please specify)	☐ Inheritance ☐ Redundancy ☐ Liquidation of assets ☐ Government benefits ☐ Superannuation/pension ☐ Investment income/earnings ☐ Business income/earnings	Compensation payment Gift/donation Windfall Tax refund Insurance payment
Source of wealth (please select one or	r more ontions)	
Government benefits Business profits Rental income Redundancy Insurance payment Sale of assets Additional sources (please specify)	Windfall Inheritance Liquidation of assets Employment income/earnings Superannuation/pension Investment income/earnings	Business income/earnings Compensation payment Gift/donation Owns real estate/property None

4. Foreign tax residency

We are required to identify tax residents of a country(ies) other than Australia in order to meet account information reporting requirements under local and international laws.

If at any time after account opening, information in our possession suggests that you, the company and/or any individual who holds ownership and/or control in the company of 25% or more (Beneficial Owner/Controlling Person) may be a tax resident of a country(ies) other than Australia, you may be contacted to provide further information on your foreign tax status and/or the foreign tax status of the entity and/or any Beneficial Owners/Controlling Persons. Failure to respond may lead to certain reporting requirements applying to the account.

You certify that if at any time there is a change to the foreign tax status details for you, the company and/or any controlling persons, you will inform the bank. You also certify that if at any time there is a change of a controlling person/s in your company, you will inform the bank.

A Beneficial Owner/Controlling Person refers to the individual(s) that directly or indirectly owns a legal interest in the entity of 25% or more and/or exercises actual effective control over the entity, whether from an economic or other perspective such as through voting rights. In addition, in the case of a trust, a Beneficial Owner/Controlling Person includes the settlor(s), appointer(s), protector(s), beneficiary(ies) or classes of beneficiaries and in the case of an entity other than a trust, the term includes persons in equivalent or similar positions.

5. Privacy statement and consent request

Privacy statement

All personal information we collect about you is collected, used and disclosed by us in accordance with our Privacy Statement which is available at <a href="style="style-type: style-type: style-type

Consent request

You consent to St.George collecting and holding any sensitive information (such as health information or information about your racial or ethnic origin) which appears on certified copies of your identity documents. You will not be able to withdraw your consent to St.George holding this information after it has been provided because St.George is required to retain copies of identification documents under the Anti-Money Laundering and Counter-Terrorism Financing Act 2006.

If you do not consent to St.George's collection of any such sensitive information, you may verify your identity in person at any St.George branch.

6. Declaration

Customer declaration

I declare that to the best of my knowledge the information I have provided above is true and correct as at the date of this document. I understand that it is an offence to knowingly give false or misleading information or knowingly produce a false or misleading document under the Anti-Money Laundering and Counter-Terrorism Financing Act 2006.

Where I am providing personal information about another individual, I have made them aware:

- that I will be doing this; and
- that St.George will collect, use and share their personal information in accordance with its Privacy Statement available at stgeorge.com.au/privacy/privacy-statement

Where I am providing St.George with another person's sensitive information, I have obtained their consent to sharing it with St.George and their consent to St.George collecting, using and disclosing their sensitive information in accordance with St.George's Privacy Statement.

Signature of authorised person	
X	
Position held (Director or Company Secretary)	Date of signature
Full name (given name/s and family name)	Customer number (if applicable)
Are you also a Beneficial Owner and have provided your	details in section 3?
Yes So to section 7 No Please continue completing Signatory det	ails questions below
Signatory details if you are not a Beneficial Owner Other names (if any)	Date of birth
Employment Type (e.g. Full Time, Part Time, Casual)	
Occupation	
Full residential address (Not a PO Box)	
Email address	Mobile number
Are you a tax resident of any country outside of Australia?	
Yes Please indicate below the country(ies) in which	you are a resident for tax purposes and each country's associated TIN*
Note: If the company has more than one country in wh separate sheet.	nich they are a tax resident, please provide this information on a
Country 1	Foreign TIN 1
No. So to next question	

^{*} A Foreign TIN is an identifying number or equivalent issued by the individual's country of tax residency that is used for tax purposes. If you're a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.

6. Declaration (continued)		
Purpose of business relationship (please select one or more options)		
☐ Transactional ☐ Savings ☐ Protection	Wealth Short-term borrowing Long-term borrowing	Correspondent banking Financial markets
Source of funds (please select one or	more options)	
Salary/wages Commission Bonus Loan Business profits Sale of assets Rental Income Additional sources (please specify)	Inheritance Redundancy Liquidation of assets Government benefits Superannuation/pension Investment income/earnings Business income/earnings	Compensation payment Gift/donation Windfall Tax refund Insurance payment
Source of wealth (please select one o	r more options)	
Government benefits Business profits Rental income Redundancy Insurance payment Sale of assets Additional sources (please specify)	Windfall Inheritance Liquidation of assets Employment income/earnings Superannuation/pension Investment income/earnings	Business income/earnings Compensation payment Gift/donation Owns real estate/property None

7. Next steps

- **Step 1:** Ensure all relevant sections of the form are completed and the customer declaration is signed.
- Step 2: Attach all certified copies of supporting documents. Refer below for Certified documents required and process.
- Step 3: Return the documentation (completed form and certified copies of supporting documents) by post to:

Business Identification Team Reply Paid 91348 SYDNEY NSW 2001

If you are located outside of Australia please pay for postage and send to:

Business Identification Team GPO Box 1806 Sydney NSW 2001 Australia

Certified documents

Customers are required to provide us with certified copies (not original documents) of acceptable identification documents that verify the individual to be identified (each Beneficial Owner identified in sections 3 and 6 (if applicable). Please provide either:

- ONE Primary Photographic Identification Document; OR
- ONE Primary Non-Photographic Identification Document AND ONE Secondary Identification Document

For a detailed list of certified documents and certifiers, go to <u>stgeorge.com.au</u>, search **BizSecure**, and then go to **FAQ section - How do I certify my identity?**

Example

ONE Primary Photographic Identification Document (all information on documents must be clear and legible), for example:



I, Sam Sample, as a Medical Practitioner, certify that this Driver Licence, is a true copy of the original.

Lavi

1 February 2023

7. Next steps (continued)

Example

ONE Primary Non-Photographic Identification Document and ONE Secondary Identification Document (all information on documents must be clear and legible), for example:



Bank use only

Please contact the Business Identification Team on 1800 100 238 between 9am - 5pm AEST Mon - Fri. The team will be able to assist customers with completing the form.

Kindly check all sections of the form are completed and signed. Please check all required documents are certified correctly.

Send completed form and certified identification to <u>businessIDSTG@stgeorge.com.au</u> after completing the Employee Declaration.

Employee Declaration

I have followed the process for identification and verification as required by policy and procedure and have verified the required information provided by the customer using approved verification source(s). Where the verification source has been provided by the customer the document is to the best of my knowledge a certified copy.

Employee name (print)	Employee salary number
Employee signature	Date
X	