

# Margin Lending Options Plan Application

Please also ensure you complete the Form of Acknowledgement.

Please note: Before you can start using this feature, one of our Account Managers will contact you once your application has been approved.

## BORROWER DETAILS

Name of borrower(s) - *If the Borrower is a Trust or Company please specify the Company or Trust name*  
Write the name of the borrower(s) exactly as it appears on the St.George Margin Lending Application

Borrower's Client Reference Number (if known)

## BROKER/ASX CLEAR PARTICIPANT DETAILS

Name of Broker\*/ASX Clear Participant

Broker/ASX Clear participant company

\* Stockbroker must be one of the St.George approved stockbrokers. A list of the approved stockbrokers for options trading can be located on our website [stgeorgemarginlending.com.au](http://stgeorgemarginlending.com.au)

## DECLARATION AND SIGNING

By signing this application you acknowledge:

- having read and understood the Options Plan Terms and Conditions
- that the Options Plan Terms and Conditions are supplementary to the facility agreement that you have read and understood.

For companies, either two directors, one director and one secretary or the sole director must sign and executions are in accordance with S127 of the Corporations Act.

### First Borrower's Name

Full name

Office held (if a company)

First Borrower's Signature

Date

### First Third Party Security Provider

Full name

Office held (if a company)

Signature

Date

### Second Borrower's Name

Full name

Office held (if a company)

Second Borrower's Signature

Date

### Second Third Party Security Provider

Full name

Office held (if a company)

Signature

Date

Common Seal (if applicable)

### Privacy Statement

The personal information we collect from you on this form will be used to process your application. We may disclose your personal information to other members of the Westpac Group, anyone we engage to do something on our behalf, and other organisations that assist us with our business. Our privacy policy, available at [stgeorge.com.au](http://stgeorge.com.au) or by calling 13 33 30, contains information about how we handle your personal information.

**FORM OF ACKNOWLEDGEMENT FROM CLIENT**

St. George Margin Lending Client Reference Number (if known)

**To be signed by the Client and returned to St. George Margin Lending**

ASX Clear Pty Limited  
 Level 4  
 20 Bridge Street  
 Sydney, NSW 2000  
 And to:  
 St. George Margin Lending  
 Reply Paid 1467  
 Royal Exchange NSW 1224

And to:  
 Name of Broker\*/ASX Clear Participant

Address of Broker/ASX Clear Participant

\* Stockbroker must be one of the St. George approved stockbrokers. A list of the approved stockbrokers for options trading can be located on our website [stgeorgemarginlending.com.au](http://stgeorgemarginlending.com.au)

Dear Sir/Madam,  
 Client Acknowledgement of the Master Deed of Priority.

I refer to the Master Priority Deed dated 21 June 2002 ("Deed") between the ASX Clear Pty Limited (ABN 48 001 314 503) (formerly Options Clearing House Pty Limited) ("ASX Clear") and St. George Bank - A Division of Westpac Banking Corporation ABN 33 007 457 141 ("Margin Lender"). Terms defined in the Deed have the same meaning in this letter. I am a Client of St. George Margin Lending and have instructed my Broker/ASX Clear Participant (as above) to register Option Contracts with ASX Clear.

I acknowledge, consent to and confirm the following:

1. I indemnify ASX Clear for any costs, liabilities or loss incurred by it, or its agents or employees in connection with the:
    - (a) execution and stamping of the Deed; and
    - (b) costs, charges and expenses incurred by ASX Clear in connection with any exercise or non-exercise of rights under or any variation, waiver or discharge in relation to, the Deed.
  2. I agree to be bound by the Deed and acknowledge and confirm the order of priorities between the OCH Securities and the Margin Lender Securities set out in the Deed.
  3. I will co-operate in the implementation, and assist in giving effect to the Deed, and will not do anything inconsistent with the terms of the Deed.
- (Please ensure all parties on your account, including third party security providers, sign below)

**BORROWER**

**First Borrower**

Full name

Office held (if a company)

Signature

Date

**Second Borrower**

Full name

Office held (if a company)

Signature

Date

**First Third Party Security Provider**

Full name

Office held (if a company)

Signature

Date

**Second Third Party Security Provider**

Full name

Office held (if a company)

Signature

Date

Common Seal (if applicable)

Please send the completed form and relevant attachments to:  
 St. George Margin Lending  
 Reply Paid 1467, Royal Exchange NSW 1224